State of New Hampshire Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that Unified Energy Services, LLC, a(n) Texas limited liability company registered to do business in New Hampshire on March 5, 2012. I further certify that it is in good standing as far as this office is concerned, having filed the annual report(s) and paid the fees required by law.

In TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 25th day of March, A.D. 2014

William M. Gardner Secretary of State

THE STATE OF NEW HAMPSHIRE

CHAIRMAN Amy L. Ignatius

COMMISSIONERS Robert R. Scott Martin P. Honigberg

EXECUTIVE DIRECTOR Debra A, Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10

Concord, N.H. 03301-2429

March 17, 2014

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Kirsty Lee Norvell, VP of Operations Unified Energy Services 3900 Essex Lane, Suite 750 Houston, TX 77027

Re: DM 14-073, Unified Energy Services, LLC

Application to Renew Registration as Provider of Electric Aggregation Service

Deficiency Letter

Dear Ms. Norvell:

On March 17, 2014, Unified Energy Services, LLC submitted an application with the Commission to renew its registration as a provider of electric aggregation service. The application is incomplete and does not comply with the requirements of N.H. Code Admin. Rule Puc 2003. Commission Staff reviewed the filing and identified the following items which are missing from the application.

1) Certificate from the Secretary of State (SOS) showing that the applicant is authorized to do business in New Hampshire.

Puc 2006.02 Form for Initial and Renewal of Aggregators. (5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual.

Regarding item #1, the SOS certificate is an item that you may need to specifically request from the SOS office, as this certificate is not automatically provided when your registration is approved.

In order to complete your application you should respond accordingly to the item listed above. When responding, please address your letter to Debra A. Howland, Executive Director, and reference the docket number listed on the subject line of this letter.

Pursuant to Puc 2003.04 (h), please provide all information requested within 60 days of the date of this later, on or before May 16, 2014. Puc 2003.04 (h) is copied below.

Puc 2003.04(h) If the commission has requested information or clarification to complete an application for registration, and such information or clarification is not provided within 60 days of the request, the commission shall suspend the application. If, after 120 days of the date of the request, the applicant has not provided the requested information or clarification, the commission shall reject the application. If an application is rejected, the application fee shall be forfeited and the applicant shall be required to submit a new application and fee prior to acting as a CEPS in New Hampshire.

Sincerely,

David Goyette

Utility Analyst III

cc: Service List Docket File

SERVICE LIST - EMAIL ADDRESSES - DOCKET RELATED

Pursuant to N.H. Admin Rule Puc 203.11 (a) (1): Serve an electronic copy on each person identified on the service list.

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Docket #: 14-073-1 Printed: March 17, 2014

FILING INSTRUCTIONS:

a) Pursuant to N.H. Admin Rule Puc 203.02 (a), with the exception of Discovery, file 7 copies, as well as an electronic copy, of all documents including cover letter with:

DEBRA A HOWLAND

EXECUTIVE DIRECTOR

NHPUC

21 S. FRUIT ST, SUITE 10 CONCORD NH 03301-2429

- b) Serve an electronic copy with each person identified on the Commission's service list and with the Office of Consumer Advocate.
- c) Serve a written copy on each person on the service list not able to receive electronic mail.